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[54] **VOICE RECOGNITION OF PROPER NAMES USING TEXT-DERIVED RECOGNITION MODELS**

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[58] **Field of Search:** 381/41-43, 381/52

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[57] **ABSTRACT**

A name recognition system (FIG. 1) used to provide access to a database based on the voice recognition of a proper name spoken by a person who may not know the correct pronunciation of the name. During an enrollment phase (10); for each name-text entered (11) into a text database (12), text-derived recognition models (22) are created for each of a selected number of pronunciations of a name-text, with each recognition model being constructed from a respective sequence of phonetic features (15) generated by a Boltzmann machine (13). During a name recognition phase (20), the spoken input (24,25) of a name (by a person who may not know the correct pronunciation) is compared (26) with the recognition models (22) looking for a pattern match—selection of a corresponding name-text is made based on a decision rule (28).

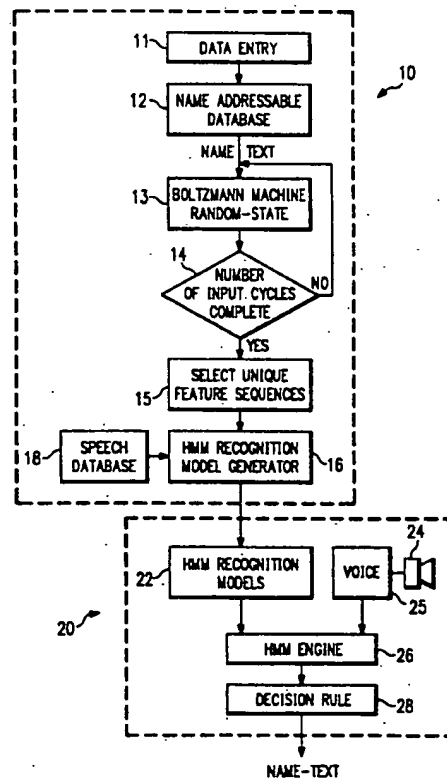
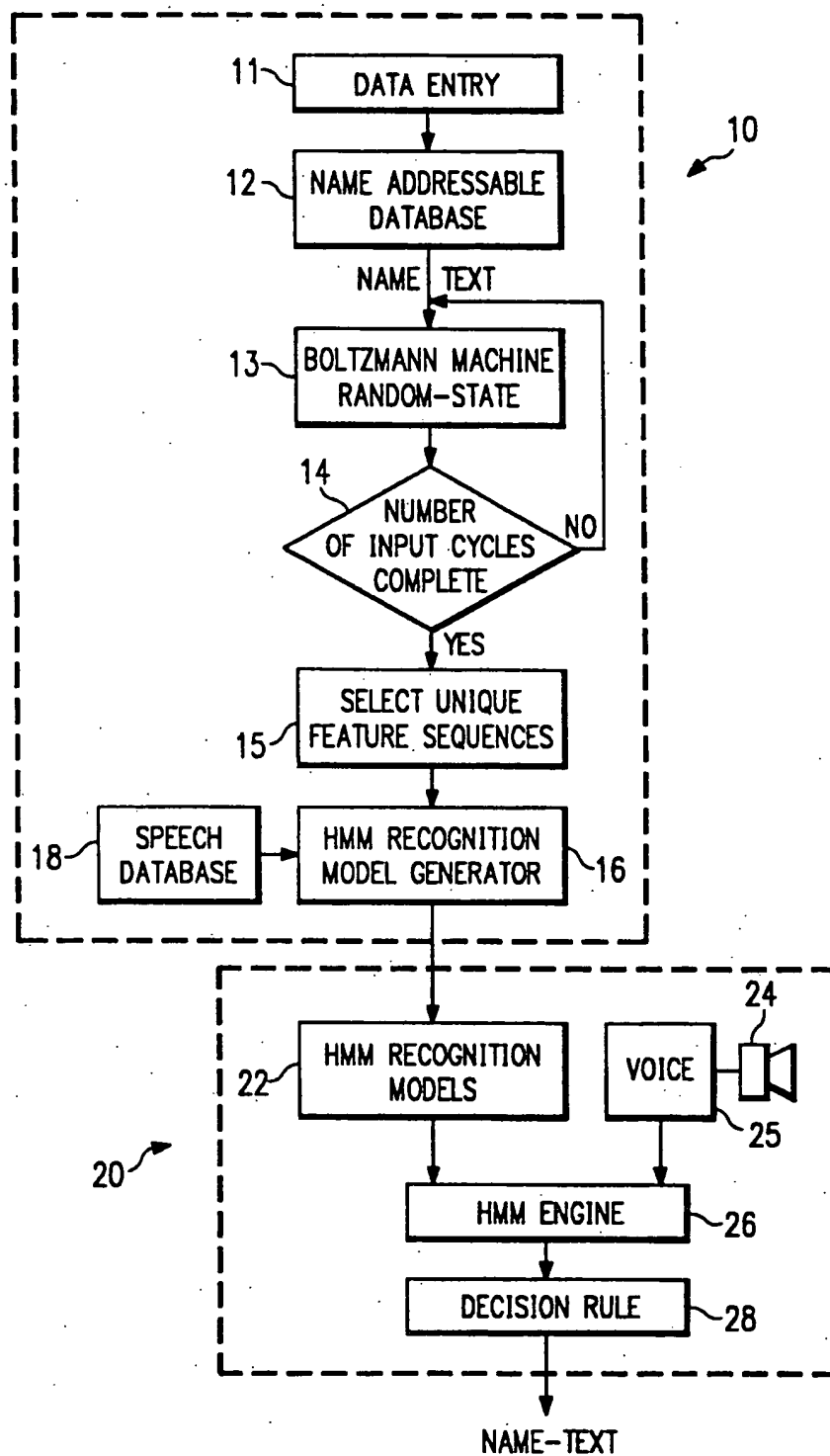
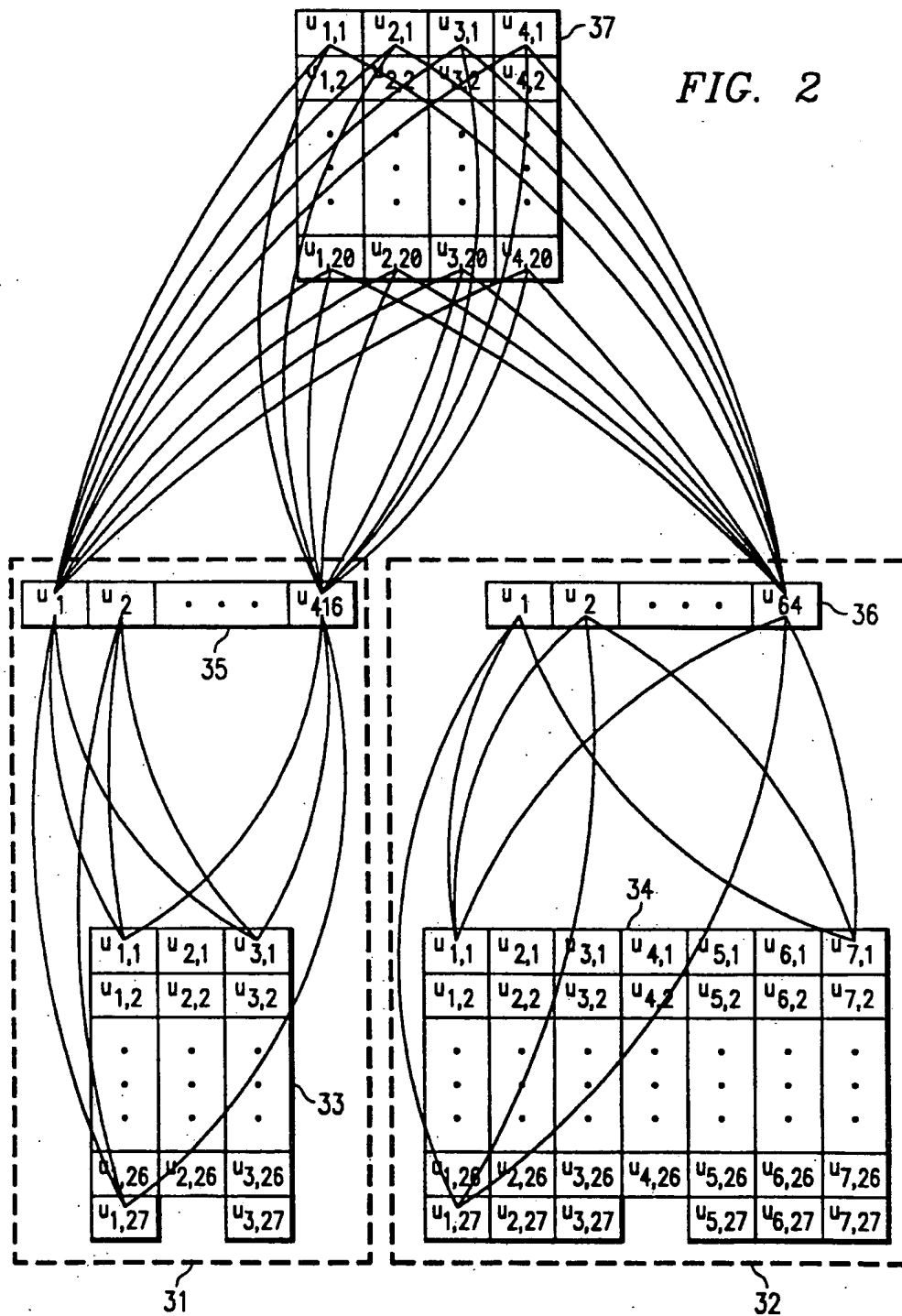
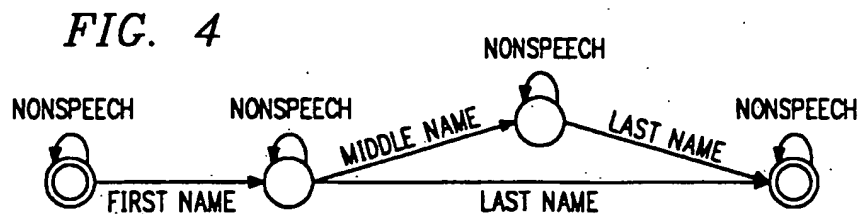
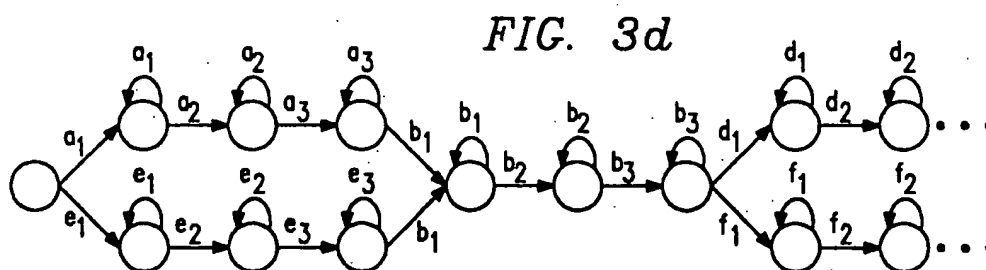
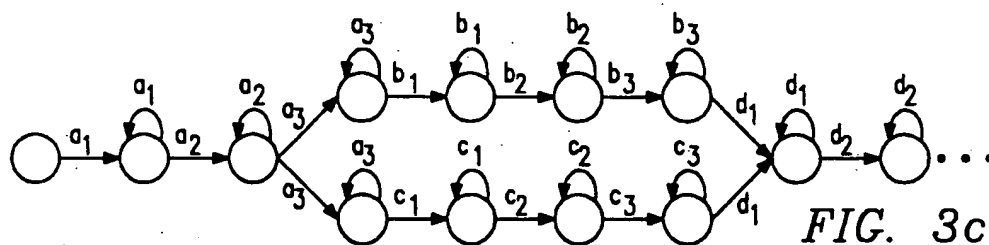
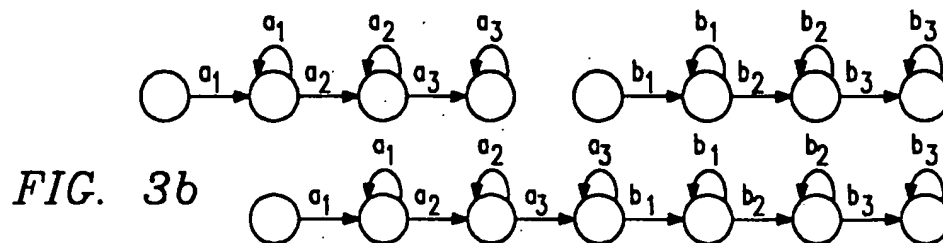
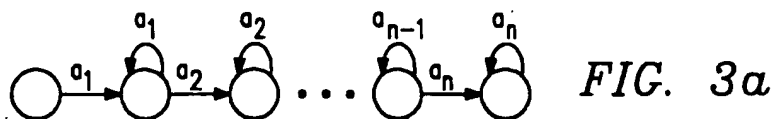
**16 Claims, 3 Drawing Sheets**

FIG. 1







## VOICE RECOGNITION OF PROPER NAMES USING TEXT-DERIVED RECOGNITION MODELS

### TECHNICAL FIELD OF THE INVENTION

The invention relates generally to voice recognition, and more particularly relates to a method and system for computerized voice recognition of a proper name using text-derived recognition models. In even greater particularity, for each name in a database, a separate recognition model is created for each of a selected number of pronunciations, with each recognition model being constructed from a respective sequence of phonetic features generated by a Boltzmann machine.

### BACKGROUND OF THE INVENTION

Computerized voice recognition systems designed to recognize designated speech sequences (words and/or numbers) generally include two aspects: modeling and recognition. Modeling involves creating a recognition model for a designated speech sequence, generally using an enrollment procedure in which a speaker enrolls a given speech sequence to create an acoustic reference. Recognition involves comparing an input speech signal with stored recognition models looking for a pattern match.

Without limiting the scope of the invention, this background information is provided in the context of a specific problem to which the invention has applicability: a voice recognition system capable of accessing database records using the voice input of associated proper names. Such a system should accommodate a reasonable number of alternative pronunciations of each name.

A voice recognition system capable of recognizing names would be useful in many data entry applications. One such application is in the medical field in which patient records are routinely organized and accessed by both name and patient number.

For health care providers, using patient numbers to access patient records is problematic due to the impracticality of remembering such numbers for any significant class of patients. Thus, name recognition is a vital step in transforming medical record access from keyboard input to voice input.

Permitting name-based access to patient records via computerized voice recognition involves a number of problems. For such a system to be practical, both recognition model creation and name recognition would have to be speaker-independent. That is, speaker-independent recognition would be required because the identity of the users would be unknown, while model generation would have to be speaker-independent because a user would not necessarily know how to pronounce a patient's name.

Current systems designed to generate name pronunciations from text are typically an adaptation of text-to-speech technology, using extensive rule sets to develop a single proper pronunciation for a name based on the text of the name. Current systems designed to perform name recognition typically require users to input the correct pronunciation of the name, for example, by pronouncing the name.

These systems are designed to produce a single correct pronunciation of the name. Name recognition then requires the user to input the name using the nominal pronunciation, i.e., these name recognition systems are not designed to recognize alternative pronunciations of

the same name, however reasonable such pronunciations may be.

Accordingly, a need exists for a computerized name recognition system for use in accessing name-associative records in a database, such as a medical records database. The system should be speaker-independent in that it would recognize names spoken by unknown users, where the user might not know the correct pronunciation of the name.

### SUMMARY OF THE INVENTION

The invention is a name recognition technique using text-derived recognition models in recognizing the spoken rendition of name-texts (i.e., names in textual form) that are susceptible to multiple pronunciations, where the spoken name input (i.e., the spoken rendition of a name-text) is from a person who does not necessarily know the proper pronunciation of the name-text. Thus, the system generates alternative recognition models from the name-text corresponding to a reasonable number of pronunciations of the name.

In one aspect of the invention, the name recognition technique involves: (a) entering name-text into a text database which is accessed by designating name-text, (b) for each name-text in the text database, constructing a selected number of text-derived recognition models from the name-text, each text-derived recognition model representing at least one pronunciation of the name, (c) for each attempted access to the text database by a spoken name input, comparing the spoken name input with the stored text-derived recognition models. If such comparison yields a sufficiently close pattern match to one of the text-derived recognition models based on a decision rule, the name recognition system provides a name recognition response designating the name-text associated with such text-derived recognition model.

In an exemplary embodiment of the invention, the recognition models associated with alternative name pronunciations are generated automatically from the name-text using an appropriately trained Boltzmann machine. To obtain the alternative pronunciations, each name-text is input to the Boltzmann machine a selected number of times (such as ten), with the machine being placed in a random state prior to each input. For each input, the Boltzmann machine generates a sequence of phonetic features, each representing at least one pronunciation of the name-text (and each of which may be different).

When the input cycles for a name-text are complete, the phonetic-feature sequences that are different are used to construct a corresponding number of recognition models using conventional Hidden Markov Modeling (HMM) techniques—the recognition models are based on phonetic models derived from a comprehensive speech database providing good acoustic-phonetic coverage. The HMM recognition models are then stored for use during name recognition operations.

Name recognition is performed using conventional HMM recognition techniques. In particular, for each spoken name input, the HMM recognition procedure assigns scores to text-derived recognition models (representing the likelihood that the spoken name input is an instance of that recognition model), and evaluates name scores using a decision rule that selects either: (a) a single name, (b) a set of N rank-ordered names, or (c) no name (a rejection).

Prior to pronunciation generation operations, the Boltzmann machine is appropriately trained using a conventional training (or learning) algorithm and a training database. The recommended approach uses a training database containing around 10,000 names—performance improves as the training set size increases. No additional training is performed when using the Boltzmann machine to generate pronunciations for particular names or name sets.

The total number of names stored in the application database is a performance issue—for typical applications, the recommended approach is to limit the active database size to about 500 names.

The technical advantages of the invention include the following. The only input required by the name recognition system is text—it does not require speech input or other representation of correct pronunciation (such as phonetic transcription). The system generates alternative recognition models, corresponding to different pronunciations, thus allowing recognition of alternative reasonable pronunciations, not just the correct pronunciation.

### BRIEF DESCRIPTION OF THE DRAWINGS

For a more complete understanding of the invention, and for further features and advantages, reference is now made to the following Detailed Description of an exemplary embodiment of the invention, taken in conjunction with the accompanying Drawings, in which:

FIG. 1 illustrates the name recognition system, including enrollment and name recognition;

FIG. 2 illustrates a Boltzmann machine used to generate alternative name pronunciation representations from text input;

FIGS. 3a-3d illustrate the HMM model construction operation; and

FIG. 4 illustrates the higher-level text-derived recognition model used in the name recognition process.

### DETAILED DESCRIPTION OF THE INVENTION

The Detailed Description of an exemplary embodiment of the speaker-independent name recognition system is organized as follows:

1. Name Recognition Technique
2. Recognition Model Creation
  - 2.1. Phonetic Feature Sequence Generation
  - 2.2. HMM Model Construction
3. Name Recognition
  - 3.1. HMM Recognition
  - 3.2. Decision Rule
4. Conclusion

The exemplary name recognition system is used to access the records of a name-associative database, such as a medical records database, in which each record has associated with it a proper name.

#### 1. Name Recognition Technique

The basic name recognition technique involves creating acoustic recognition models from the text of previously entered names prior to any name recognition operations. The exemplary name recognition technique creates the recognition models using a Boltzmann machine to generate, from input name-text (i.e., the textual form of a name), phonetic feature sequences (or other pronunciation representations) that are used by a HMM (Hidden Markov Model) generator to construct HMM recognition models. For each name, alternative HMM

recognition models are generated corresponding to alternative pronunciations.

FIG. 1 illustrates the exemplary name recognition technique. The name recognition system is used to provide access to a name-addressable database, such as a medical records database. It includes an enrollment phase (10) and a name recognition phase (20).

During the enrollment phase (10), name-texts are entered (11) into the name-addressable text database (12), such as during patient record creation, using normal keyboard data entry. For the exemplary medical records application, names are assumed to be available on an institution-wide medical records database, although a scenario can be envisioned where common names would be added or deleted by pre-storing many of the most common names.

For each name entered into the name-addressable text database, the name-text is repetitively input (during a selected number of input cycles) to an appropriately configured Boltzmann machine (13), which is reset to a random state prior to each input. For each name-text input, the Boltzmann machine generates a phonetic feature sequence—while a phonetic feature sequence output from the Boltzmann machine is preferred, other pronunciation representations could be used.

The Boltzmann machine (13) will generate different phonetic feature sequences from the same input name-text, corresponding to different pronunciations of the name. When a name-text has been cycled through the Boltzmann machine the selected number of times (14), the resulting phonetic feature sequences are compared, and the different sequences, representing different pronunciations, are selected (15)—not every phonetic feature sequence will be different, and indeed, it is possible that none will be different (i.e., only a single pronunciation is represented).

The number of different sequences generated from a given number of inputs of the same name-text will depend upon the name and the number of inputs. The recommended approach is to input each name ten times, which should insure that phonetic feature sequences will be generated for a high percentage of the reasonable pronunciations of any name.

The different phonetic feature sequences are input to a HMM recognition model generator (16). For each feature sequence, the HMM recognition model generator constructs a corresponding HMM recognition model using conventional HMM model generation techniques—the HMM recognition models are based on phonetic models derived from a speech database (18) providing good acoustic-phonetic coverage. The HMM recognition models are stored in an HMM recognition model database (22), to be used for name recognition operations.

Name recognition operations (20) are initiated by the spoken input (24) of a name, which is converted (25) into a corresponding speech signal.

The speech signal is input into an HMM recognition engine (26). Using conventional HMM recognition techniques, the HMM recognition engine accesses the HMM recognition model database (22), and compares the speech signal with the HMM recognition models looking for a pattern match. If such comparison yields a sufficiently close pattern match to one of the stored text-derived recognition models in terms of a decision rule (28), the HMM recognition engine provides a corresponding name recognition response designating the name-text associated with such recognition model.

The name recognition response is used to access the associated record in the medical database.

## 2. Recognition Model Creation

For the exemplary name recognition system, the recognition models associated with the different pronunciations for each name are created in a two step procedure that involves using a Boltzmann machine to generate phonetic feature sequences, and then using an HMM recognition model generator to construct the recognition models from the phonetic feature sequences.

### 2.1. Phonetic Feature Sequence Generation

A Boltzmann machine is a network that is trained to represent the probability density distribution of observables in a particular domain. It comprises simple interconnected units, at least some of which are external (input/output) units.

Each unit can be either "on" or "off"—the state of each unit (if not fixed) is a probabilistic function of the states of the units to which it is connected and the strength of the real-valued weights on the connections. All connection weights between units are symmetrical, representing mutually excitatory or mutually inhibitory relationships. Each configuration of the network has an energy value that is a function of the states and connection weights for all units.

The Boltzmann machine training algorithm is a procedure for gradually adjusting the weights on connections between units so that the network comes to model the domain of interest. It involves alternate cycles in which (a) the states of external units are either clamped (determined externally and held constant) or free (set randomly and allowed to change), while (b) all internal units are free.

For each initial configuration, a conventional simulated annealing procedure is used to bring the network to a state of equilibrium. Connection weights are adjusted to reduce the difference in energy between the clamped and free configurations.

Once trained, the network can perform pattern completion tasks probabilistically: a subset of its external units are set to values representing the input, and all other units are set randomly. Activations are propagated through the network, with the resulting states of the remaining external units representing the output. If the network has been trained successfully, the set of outputs produced for a given input represents the probability density of these outputs for the given input in the domain represented by the network.

The Boltzmann machine architecture and training algorithm is particularly useful in the context of the exemplary name recognition system because of the need to produce alternative outputs for the same input. The exemplary name recognition system uses a conventional Boltzmann machine architecture and training procedure.

FIG. 2 illustrates the exemplary approach to implementing the Boltzmann machine for generating phonetic feature sequences. The Boltzmann machine includes two subnetworks 31 and 32, each comprising a respective set of input units 33 and 34, and a respective set of internal units (called a hidden layer) 35 and 36. The machine has a single set of output units 37.

The subnetwork 31 contains input units to scan a small number of letters (for example, three) at a time. The subnetwork 32 contains input units to scan a larger number of letters (for example, seven) at a time.

Both subnetworks 31 and 32 are sliding windows. That is, each input name is moved through both windows simultaneously, with each letter in turn placed in the central position in the input unit sets 33 and 34. The output 37 represents the set of possible sound(s) corresponding to the center letter(s) in the string.

This exemplary approach to the configuration of the Boltzmann machine for generating phonetic feature sequences is based on two design criteria: (a) generally, a relatively small amount of contextual information will be sufficient to narrow the range of possible sound correspondences to a small set, but (b) choosing a correct sound from this set may require information occurring at more remote points in the name.

For each position in the windows represented by the input units 33 and 34, there are 26 or 27 input units corresponding to the letters of the alphabet plus "space" ("space" is omitted where unnecessary, i.e., in the central position). The unit corresponding to the current letter is on, while all other units are off. Each of these input units is connected to each unit in the corresponding hidden unit layer, and each of the hidden units is connected to each output unit.

The output 37 of the Boltzmann machine is a phonetic feature sequence: the output at each step represents a sequence of N features, where N is a small integer (possibly 1).

Alternatively, the output units could represent a sequence of N phones or phonemes. However, using phonetic features rather than other pronunciation representations such as phones or phonemes requires fewer output units, and allows greater flexibility.

The machine uses 2 MXN output units, where M is the number of phonetic features and N is the length of the output sequence. Each feature has a positive unit representing the presence of the feature and a negative unit representing the absence of the feature. A noncommittal or "neither" response (such as for a silent letter) is indicated by a zero value on both positive and negative units. In cases where N is greater than the length of the output sequence, adjacent sets of output units are assigned identical values during training.

For the exemplary Boltzmann machine, the subnetwork 31 contains 80 input units (a 3-letter window) and 416 hidden layer units. The subnetwork 32 contains 188 input units (a 7-letter window) and 64 hidden layer units. The output layer 37 contains 80 units (2 units each for 20 phonetic features, 2-phone sequence).

The connection weight values associated with the network are derived using the conventional Boltzmann machine training algorithm and a training database of names. The training database contains the spelling and all expected pronunciations of each name. In the exemplary system, pronunciations are represented as sequences of combinations of phonetic features, using the same feature set as in the Boltzmann machine (for example, +/-SYLLABIC, +/-VOICED, +/-NASAL, +/-LABIAL).

During the clamped cycles in the training procedure, each name in the training database is presented to the network in turn. The input units are clamped to the values corresponding to the letters in the name while the output units are clamped to values corresponding to one expected pronunciation.

Simulated annealing is used to bring the network to equilibrium, and its energy is then computed. This step is repeated for each expected pronunciation for each letter in each name.

Network performance is tested by (a) clamping input units to values corresponding to letters in names, (b) setting all other units to random values, (c) allowing activations to propagate through the network, and (d) observing the values of the output units. Performance is tested at intervals and training is terminated when the performance of the network reaches an acceptable level.

By repeatedly inputting the same name into the Boltzmann machine, different phonetic feature sequences can be produced, corresponding to alternative plausible pronunciations of the name. Thus, the goal is not to determine a nominal or "correct" pronunciation, but to create a recognition model for any pronunciation likely to be used by a person reading the name.

## 2.2. HMM Recognition Model Construction

HMM text-derived recognition models are constructed by using the output of the Boltzmann machine to select from a library of phonetic unit models, and then combining these phonetic models into name recognition models.

The phonetic model library comprises HMM phonetic unit models representing phonetic units. Each phonetic unit model represents sets of expected acoustic features and durations for these features.

FIG. 3a illustrates an exemplary phonetic unit model based on cepstral feature analysis and a simple exponential duration model. Other types of models, such as finite duration could be used.

The phonetic unit models are created and trained using conventional HMM model generation techniques, based on a speech database providing good coverage of the acoustic-phonetic features of speech for the context in which the name recognition system will be used. This speech database is distinct from the name database used in training the Boltzmann machine, which does not contain any speech material—the speech database typically does not include any of the names from the name training database.

The phonetic feature sequences generated by the Boltzmann machine are used to select corresponding phonetic unit models from the phonetic model library. That is, for each set of phonetic features observed in the Boltzmann machine output, the phonetic unit model representing the phonetic unit having that set of features is selected. Adjacent identical feature sets are collapsed to select a single phonetic unit model.

In cases where the Boltzmann machine phonetic feature sequence is consistent with more than one phonetic unit model, the recommended approach is to select all corresponding phonetic unit models. If the Boltzmann machine phonetic feature sequence is not consistent with any phonetic unit model, the recommended approach is to discard that output sequence. Alternatively, the most nearly consistent model(s) can be selected, using a heuristic procedure to determine degree of consistency. However, certain outputs (e.g. both positive and negative units off) are taken to represent "no phonetic model", i.e. the input letter is a "silent" letter.

The selected sequential phonetic units for each name are then sequentially combined into a recognition model representing the name.

FIG. 3b illustrates an exemplary concatenation approach to combining phonetic unit models. Other conjoining procedures, such as overlapping the final

state of one model with the initial state of the next model, could be used.

FIG. 3c illustrates recognition model construction where more than one phonetic unit model is selected at a given point in the sequence. Branches are constructed in the recognition model allowing any one of the alternate phonetic unit models to occur at that point.

FIG. 3d illustrates an exemplary procedure for constructing a single text-derived recognition model representing alternate pronunciations. First, a text-derived recognition model is constructed for each distinct Boltzmann machine output sequence. These text-derived recognition models can be used separately, or two or more of them can be combined for greater efficiency and reduced memory—this combining procedure is optional.

Thus, alternate text-derived recognition models representing alternative pronunciations can be combined into a single network by collapsing common paths (i.e. shared phonetic units at corresponding points in the phonetic unit sequence). In this case, the number of recognition models for a database of  $N$  names is simply  $N$ , but each recognition model represents  $M$  alternative pronunciations, where  $M$  is greater than or equal to 1, and where  $M$  may vary with the name.

## 3. Name Recognition

Name recognition is performed using conventional HMM recognition technology. Input to the system consists of a spoken name input (i.e., the spoken rendition of a name-text) from a person who has available the text of the name but who may or may not know the correct pronunciation.

This spoken name input is converted to a speech signal and recognized by a conventional HMM recognition procedure. The output of the HMM recognition procedure is then evaluated according to a decision rule. The output of the decision process is either one or more name-texts, or a rejection, i.e., a decision that no name-text was recognized.

### 3.1. HMM Recognition

The conventional HMM recognition procedure performs a pattern-matching operation, comparing the input speech signal to relevant HMM text-derived recognition models.

Referring to FIG. 1, for name recognition, the speech signal is compared to the HMM text-derived recognition models (22) constructed in advance from the phonetic unit models (18), which are based on predicted phonetic feature representations for that name-text. The HMM recognition models represent all predicted pronunciations of all name-texts in the text database (12).

Thus, for a text database of  $N$  names, recognition is an  $N$ -way discrimination problem. Each of the  $N$  name-texts is represented by a set of  $M$  models, where  $M$  is the number of pronunciation separately modeled for each name— $M$  is greater than or equal to 1, and typically varies across names.

FIG. 4 illustrates an exemplary higher-level text-derived recognition model used in the recognition process—this model can be generalized to names containing arbitrary numbers of components.

The recognition model is a finite state machine containing a sequence of states and transitions. Each transition between states represents one component of the name (e.g., first name, last name).

The recognition model also includes transitions allowing optional nonspeech (e.g., silence) initially, between names, and finally. These nonspeech transitions allow the speech to be recognized without performing a prior speech endpointing procedure to determine the endpoints of each component of the name. They also allow pauses of variable lengths, including no pause, between components of the name.

### 3.2. Decision Rule

The HMM recognition procedure outputs one or more name scores representing the likelihood that the speech input is an instance of that text-derived recognition model. These name scores are then evaluated using a decision rule that selects either: (a) a single name-text, (b) a set of N rank-ordered name-texts, or (c) no name (a rejection).

In the exemplary HMM recognition procedure, the recognizer outputs name scores for all text-derived recognition models of all names. For names which have multiple recognition models representing alternative pronunciations, a single composite name score is derived from the separate name scores by selecting the single best name score.

The best (maximum likelihood) name score is then compared to the name score for the second most likely name. If the best name score exceeds a predetermined absolute score threshold, and if the difference between the best and second best name scores also exceeds a predetermined difference score threshold, then the corresponding name-text having the best name score is output as the recognized name. Otherwise, no name is output, i.e., the recognizer reports that no name was recognized.

Various alternate decision rules can be used. For example, in the simplest case, the recognition procedure outputs only one name score, i.e., the score for the single most likely text-derive recognition model, and a simple score threshold is used to accept or reject that name. Alternatively, the recognizer may output multiple name scores, and a rank-ordered list of the best N name-texts may be selected by exercising a decision rule for the most likely candidates. In this case, a second decision procedure employing independent information is used to select a single name-text from the list. For example, a single name-text may be selected by reference to other information stored in the application database (such as a patient's physician or diagnosis), or may be selected by the user.

### 4. Conclusion

Although the Detailed Description of the invention directed to certain exemplary embodiments, various modifications of these exemplary embodiments, as well as alternative embodiments, will be suggested to those skilled in the art. For example, the invention has general applicability for name recognition systems where the text of names are input in advance and used to create alternative recognition models associated with alternative pronunciations.

It is to be understood that the invention encompasses any modifications or alternative embodiments that fall within the scope of the appended Claims.

What is claimed is:

1. A method of proper name recognition using text-derived recognition models to recognize spoken rendition of name-texts (i.e., names in textual form) that are susceptible to multiple pronunciations, where spoken

name input (i.e., spoken rendition of a name-text) is from a person who does not necessarily know how to properly pronounce the name-text, comprising the steps:

entering name-text into a text database in which the database is accessed by designating name-text; for each name-text in the text database, constructing a selected number of text-derived recognition models from the name-text, each text-derived recognition model representing at least one pronunciation of the name;

for each attempted access to the text database by a spoken name input, comparing the spoken name input with the text-derived recognition models; and

if such comparison yields a sufficiently close pattern match to one of the text-derived recognition models based on a decision rule, providing a name recognition response designating the name-text associated with such text-derived recognition model.

2. The name recognition method of claim 1, wherein the step of constructing a selected number of text-derived recognition models is accomplished using a neural network.

3. The name recognition method of claim 1, where in the step of constructing a selected number of recognition models comprises the substeps:

for each name in the text database, inputting the name-text into an appropriately trained Boltzmann machine for a selected number of input cycles, with the machine being placed in a random state prior to each input cycle;

for each input cycle, generating a corresponding pronunciation representation sequence of at least one pronunciation for the name-text;

when the input cycles are complete, constructing from the pronunciation representation sequences that are different at least one text-derived recognition model representing at least one pronunciation of the name-text.

4. The method of proper name recognition using text-derived recognition models of claim 3, wherein the pronunciation representations are phonetic features.

5. The method of proper name recognition using text-derived recognition models of claim 3, wherein said Boltzmann machine comprises:

small and large sliding-window subnetworks, each including a respective set of input units and a respective set of internal units; and

a set of output units;

said small sliding window subnetwork being composed of a smaller number of input units than said large sliding window subnetwork;

such that, for each input cycle, the step of generating a corresponding pronunciation representation sequence is accomplished by moving the name-text through both windows simultaneously, with each letter in turn placed in a central position in the respective sets of input units.

6. The method of proper name recognition using text-derived recognition models of claim 1, wherein the step of constructing a selected number of text-derived recognition models is accomplished using HMM modeling.

7. The method of proper name recognition using text-derived recognition models of claim 6, wherein the step of constructing text-derived recognition models comprises the substeps of:

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creating a phonetic model library of phonetic unit models representing phonetic units, where each phonetic unit model represents sets of expected acoustic features and durations for such features; for each pronunciation representation sequence generated by the Boltzmann machine, searching the phonetic model library for corresponding phonetic unit models; and

if at least one corresponding phonetic unit model is found, selecting such phonetic unit model; otherwise, discarding such pronunciation representation sequence; and

after all pronunciation representation sequences have been used to search the phonetic model library, constructing a corresponding text-derived recognition model using the selected phonetic unit models.

8. The method of proper name recognition using text-derived recognition models of claim 7, wherein the substep of selecting such phonetic unit model comprises the substep:

if at least one phonetic unit model is found that corresponds to the pronunciation representation sequence with a predetermined degree of consistency, selecting such phonetic unit model.

9. The method of proper name recognition using text derived recognition models of claim 1, wherein the step of providing a name recognition response designating the name-text associated with such text-derived recognition model is accomplished according to the decision-rule substeps of:

for each spoken name input, assigning first scores to the text-derived recognition models representing a likelihood that the spoken name input is an instance of that recognition model; and

evaluating the first scores using a decision rule that selects as a name recognition response either: (a) a single name-text, (b) a set of N rank-ordered name-texts, or (c) no name.

10. The method of proper name recognition using text-derived recognition models of claim 9, wherein the step of assigning first scores comprises the substeps of:

for each spoken name input, assigning name scores to all text-derived recognition models for all name texts representing of that likelihood that the spoken name input is an instance of that recognition model; and

for names with multiple text-derived recognition models representing alternative pronunciations, assigning a single name score derived by selecting a single best name score associated with a text-derived recognition model which is most likely.

11. The method of proper name recognition using text-derived recognition models of claim 10, wherein the step of evaluating the first scores using a decision rule is accomplished by:

comparing the best name score is then compared to the name score for a text-derived recognition model which is second most likely; and

if the best name score exceeds a predetermined absolute score threshold, and if the difference between the best and second best name scores also exceeds a predetermined difference score threshold, then the name-text associated the text-derived recognition model having the best score is output as the name recognition response; otherwise, the name recognition response indicates no name-text.

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12. A method of proper name recognition using text-derived recognition models to recognize spoken rendition of name-texts (i.e., names in textual form) that are susceptible to multiple pronunciations, where spoken name input (i.e., the spoken rendition of a name-text) is from a person who does not necessarily know how to properly pronounce the name-text, comprising the steps:

entering name-text into a text database in which the database is accessed by designating name-text;

for each name-text in the text database, inputting the name-text into an appropriately trained Boltzmann machine for a selected number of input cycles, with the machine being placed in a random state prior to each input cycle;

for each input cycle, generating a corresponding phonetic feature sequence of at least one pronunciation for the name-text;

when the input cycles are complete, constructing from the phonetic feature sequences that are different at least one text-derived recognition model representing at least one pronunciation of the name-text;

for each attempted access to the text database by a spoken name input, comparing the spoken name input with the stored text-derived recognition models; and

if such comparison yields a sufficiently close pattern match to one of the text-derived recognition models based on a decision rule, providing a name recognition response designating the name-text associated with such text-derived recognition model.

13. The method of proper name recognition using text-derived recognition models of claim 12, wherein the step of constructing from the phonetic feature sequences that are different at least one text-derived recognition models comprises the substeps of:

creating a phonetic model library of phonetic unit models representing phonetic units, where each phonetic unit model represents sets of expected acoustic features and durations for such features;

for each phonetic feature sequence generated by the Boltzmann machine, searching the phonetic model library for corresponding phonetic unit models; and

if at least one corresponding phonetic unit model is found, selecting such phonetic unit model; otherwise, discarding such phonetic feature sequences; and

after all phonetic feature sequences have been used to search the phonetic model library, constructing a corresponding text-derived recognition model using the selected phonetic unit models.

14. The method of proper name recognition using text-derived recognition models of claim 12, wherein the step of providing a name recognition response designating the name-text associated with such text-derived recognition model is accomplished according to the decision-rule substeps of:

for each spoken name input, assigning first scores to the text-derived recognition models representing a likelihood that the spoken name input is an instance of that recognition model; and

evaluating the first scores using a decision rule that selects as a name recognition response either: (a) a single name-text, (b) a set of N rank-ordered name-texts, or (c) no name.

15. A proper name recognition system using text-derived recognition models to recognize spoken rendition of name-texts (i.e., names in textual form) that are susceptible to multiple pronunciations, where spoken name input (i.e., the spoken rendition of a name-text) is from a person who does not necessarily know how to properly pronounce the name-text, comprising:

- a text database into which are entered name-texts, where the database is accessed by designating name-text;
- an appropriately trained Boltzmann machine responsive to the input of a name-text for generating a corresponding phonetic feature sequence of at least one pronunciation for the name-text;
- each name-text being input to said Boltzmann machine a selected number of input cycles, with the machine being placed in a random state prior to each input cycle;
- a text-derived recognition model generator for constructing, after the selected number of input cycles for a name-text is complete, from the phonetic feature sequences that are different at least one text-derived recognition model representing at least one pronunciation of the name-text;
- a name-text recognition engine for comparing, for each attempted access to the text database by a spoken name input, such spoken name input with

the generated text-derived recognition models, and if such comparison yields a sufficiently close pattern match to one of the text-derived recognition models based on a decision rule, providing a name recognition response designating the name-text associated with such text-derived recognition model.

16. The proper name recognition system using text-derived recognition models of claim 15, further comprising:

- a phonetic model library of phonetic unit models representing phonetic units, where each phonetic unit model represents sets of expected acoustic features and durations for such features;
- such that, for each phonetic feature sequence generated by the Boltzmann machine, said text-derived recognition model generator searches the phonetic model library for corresponding phonetic unit models, and if at least one corresponding phonetic unit model is found, selects such phonetic unit model, otherwise, it discards such phonetic feature sequence; and
- after said text-derived recognition model generator has so processed all phonetic feature sequences, it constructs a corresponding text-derived recognition model using the selected phonetic unit models.

\* \* \* \* \*



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**Applebaum et al.**

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**(45) Date of Patent:** **Oct. 8, 2002**

(54) **SPEECH RECOGNITION TRAINING FOR SMALL HARDWARE DEVICES**

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(73) **Assignee:** **Matsushita Electrical Industrial Co., Ltd.**, Kadoma (JP)

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(52) **U.S. Cl.** ..... **704/256; 704/255; 704/270; 704/275; 704/252; 704/243**

(58) **Field of Search** ..... **704/256, 245, 704/254, 243, 244, 255, 252, 253, 257, 270, 275**

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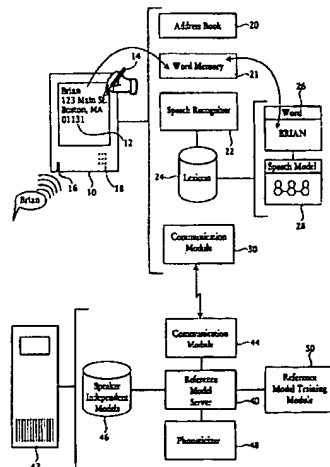
*Primary Examiner*—Vijay B Chawan

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(57) **ABSTRACT**

A distributed speech processing system for constructing speech recognition reference models that are to be used by a speech recognizer in a small hardware device, such as a personal digital assistant or cellular telephone. The speech processing system includes a speech recognizer residing on a first computing device and a speech model server residing on a second computing device. The speech recognizer receives speech training data and processes it into an intermediate representation of the speech training data. The intermediate representation is then communicated to the speech model server. The speech model server generates a speech reference model by using the intermediate representation of the speech training data and then communicates the speech reference model back to the first computing device for storage in a lexicon associated with the speech recognizer.

**32 Claims, 2 Drawing Sheets**



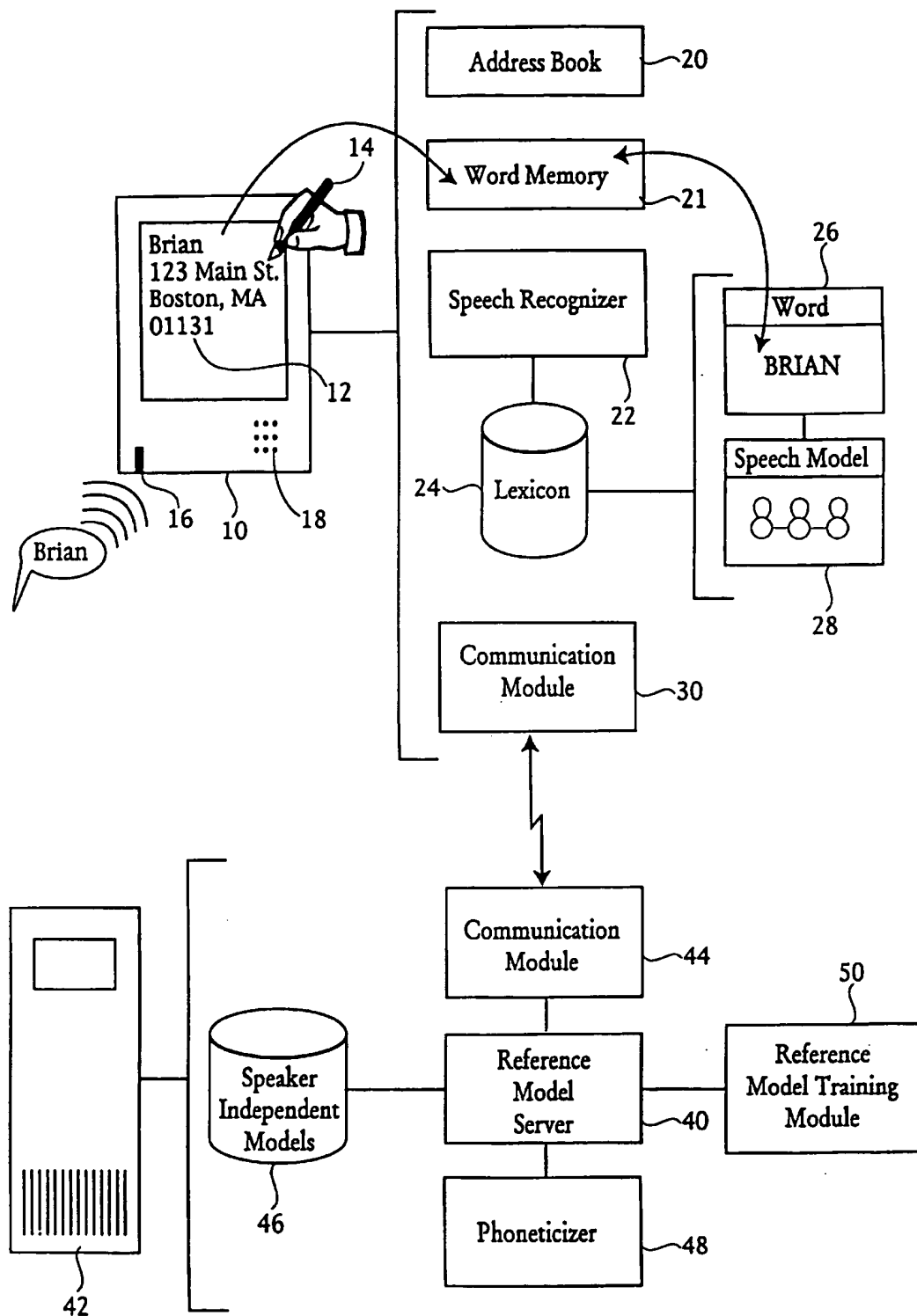


FIG. 1

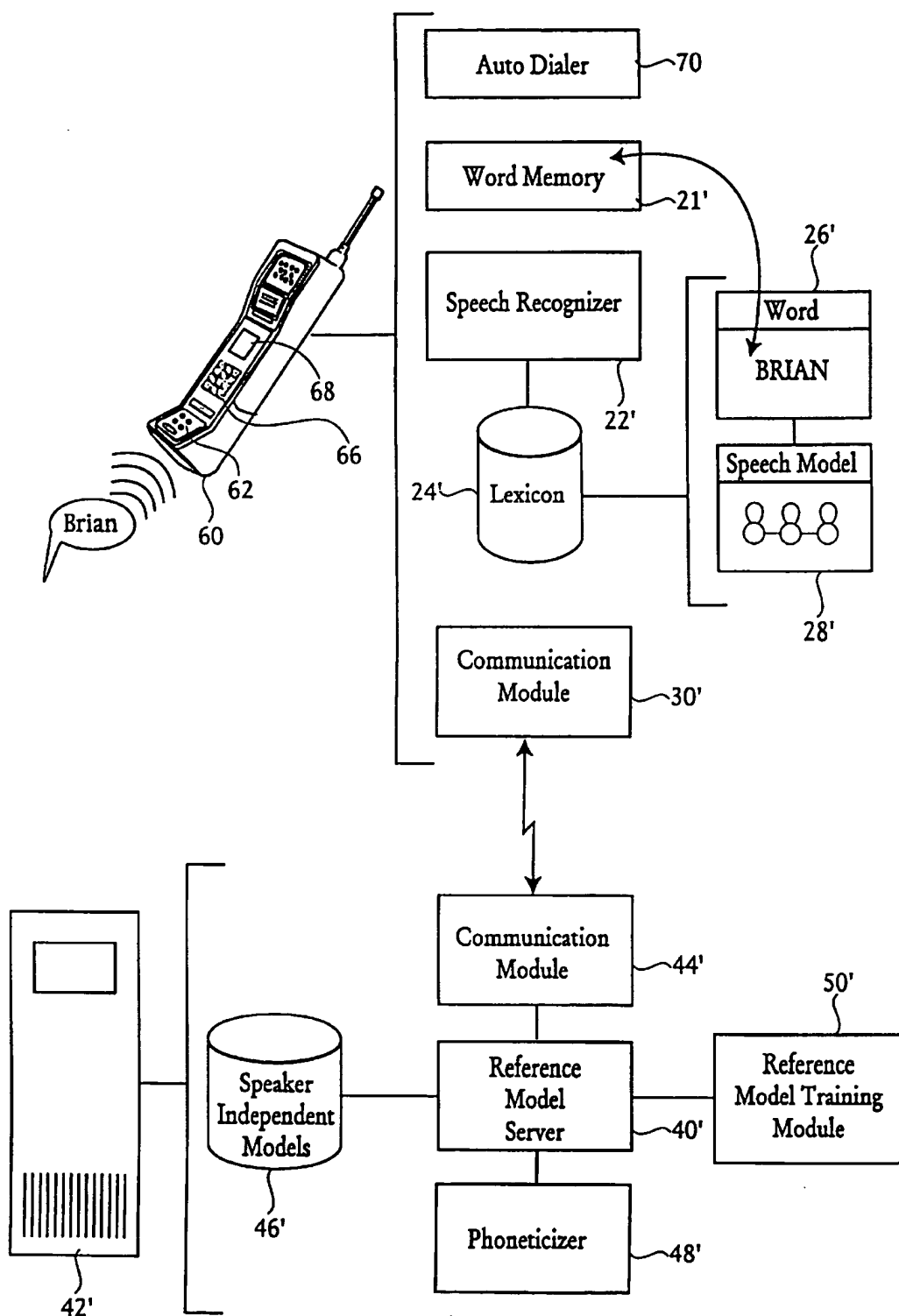


FIG. 2

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## SPEECH RECOGNITION TRAINING FOR SMALL HARDWARE DEVICES

### BACKGROUND AND SUMMARY OF THE INVENTION

The present invention relates generally to speech recognition systems, and more particularly, the invention relates to a system for training a speech recognizer for use in a small hardware device.

The marketing of consumer electronic products is very cost sensitive. Reduction of the fixed program memory size, the random access working memory size or the processor speed requirements results in lower cost, smaller and more energy efficient electronic devices. The current trend is to make these consumer products easier to use by incorporating speech technology. Many consumer electronic products, such as personal digital assistants (PDA) and cellular telephones, offer ideal opportunities to exploit speech technology, however they also present a challenge in that memory and processing power is often limited within the host hardware device. Considering the particular case of using speech recognition technology for voice dialing in cellular phones, the embedded speech recognizer will need to fit into a relatively small memory footprint.

To economize memory usage, the typical embedded speech recognition system will have very limited, often static vocabulary. In this case, condition-specific words, such as names used for dialing a cellular phone, could not be recognized. In many instances, the training of the speech recognizer is more costly, in terms of memory required or computational complexity, than is the speech recognition process. Small low-cost hardware devices that are capable of performing speech recognition may not have the resources to create and/or update the lexicon of recognized words. Moreover, where the processor needs to handle other tasks (e.g., user interaction features) within the embedded system, conventional procedures for creating and/or updating the lexicon may not be able to execute within a reasonable length of time without adversely impacting the other supported tasks.

The present invention addresses the above problems through a distributed speech recognition architecture whereby words and their associated speech models may be added to a lexicon on a fully customized basis. In this way, the present invention achieves three desirable features: (1) the user of the consumer product can add words to the lexicon, (2) the consumer product does not need the resources required for creating new speech models, and (3) the consumer product is autonomous during speech recognition (as opposed to during speech reference training), such that it does not need to be connected to a remote server device.

To do so, the speech recognition system includes a speech recognizer residing on a first computing device and a speech model server residing on a second computing device. The speech recognizer receives speech training data and processes it into an intermediate representation of the speech training data. The intermediate representation is then communicated to the speech model server. The speech model server generates a speech reference model by using the intermediate representation of the speech training data and then communicates the speech reference model back to the first computing device for storage in a lexicon associated with the speech recognizer.

For a more complete understanding of the invention, its objects and advantages refer to the following specification and to the accompanying drawings.

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## BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a diagram illustrating a personal digital assistant in the context of a distributed speech recognition system in accordance with the present invention; and

FIG. 2 is a diagram illustrating a cellular telephone in the context of the distributed speech recognition system of the present invention.

## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

The techniques employed by the present invention can be applied in a number of useful applications. For illustration purposes, a preferred embodiment of the invention will first be described within a personal digital assistant (PDA) application. Following this description, another example of a preferred embodiment will be presented in the context of a cellular telephone application. Of course, it will be appreciated that the principles of the invention can be employed in a wide variety of other applications and consumer products in which speech recognition is employed.

Referring to FIG. 1, a personal digital assistant device is illustrated at 10. The device has a display screen 12 that presents information to the user and on which the user can enter information by writing on the display using a stylus 14. The personal digital assistant 10 includes a handwriting recognition module that analyzes the stroke data entered by the user via the stylus. The handwriting recognition module converts the handwritten stroke data into alphanumeric text that may be stored in a suitable form (e.g., ASCII format) within a portion of the random access memory contained within the PDA 10.

In a typical PDA device, the operating system of the device manages the non-volatile memory used for storing data entered by the user. Although the precise configuration and layout of this non-volatile memory is dependent on the particular operating system employed, in general, a portion of memory is allocated for storing alphanumeric data entered by the user in connection with different applications. These applications include address books, e-mail address directories, telephone dialers, scheduling and calendar applications, personal finance applications, Web-browsers and the like. For illustration purposes, an address book application 20 is illustrated in FIG. 1. When the user enters names, addresses and phone numbers using the stylus, the alphanumeric data corresponding to the user-entered information is stored in a portion of the system's non-volatile random access memory which has been designated as word memory 21 in FIG. 1.

The PDA 10 of the present embodiment is a speech-enabled device. It includes a microphone 16, preferably housed within the device to allow the user to enter speech commands and enter speech data as an alternative to using the stylus. For instance, the user may speak the name of a person whose address and telephone number they want to retrieve from their address book. Preferably, the PDA 10 also includes an integral speaker 18 through which digitally recorded audio data and synthesized speech data can be transmitted to the user.

Speech data entered through microphone 16 is processed by a speech recognizer module 22 within the PDA 10. The speech recognizer may be a stand alone application running on the PDA device, or it may be incorporated into the operating system of the PDA device. There are a variety of different speech templates upon which speech recognizer 22 may be based. Hidden Markov Models (HMMs) are popular

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today and may be used to implement the illustrated embodiment. Alternatively, other templates can be employed, such as a model based on high similarity regions as proposed by Morin et al. in U.S. Pat. Nos. 5,684,925, 5,822,728 and 5,825,977 which are incorporated herein by reference.

Speech recognizer 22 works in conjunction with a locally stored lexicon 24 of words that may be recognized by the system. The lexicon 24 is arranged such that there is a speech model associated with each word that is recognizable by the system. This arrangement is illustrated in FIG. 1 by a data structure that associates a unit of word data 26 with a corresponding speech model 28. In this way, the speech recognizer 22 retrieves the alphanumeric text for the word that matches the input speech data. In the case of the address book, the application 20 can retrieve the appropriate address and telephone number using the alphanumeric text for the spoken name as provided by the speech recognizer 22.

The personal digital assistant 10 presents a challenge of trying to achieve each of the previously described desirable features. Thus, the PDA 10 employs a distributed speech recognition architecture whereby words and their associated speech models may be added to lexicon 24 on a fully customized basis. Using the stylus or other suitable input device, such as a keyboard, the user enters words into word memory 21. The system then acquires speech reference models corresponding to those words by accessing a second computing device.

In the presently preferred embodiment, a reference model server supplies the speech models for newly entered words. The reference model server 40 may be implemented on a suitable host server computer 42, typically at a remote site. The PDA 10 and server computer 42 communicate with one another by suitable communication modules 30 and 44. In this regard, the communication modules can take many forms in order to support popular communication hardware and software platforms. For instance, the PDA 10 and server computer 42 may be configured to communicate with one another through a RS232 interface in which the PDA 10 plugs into a cradle connected by is cable to a serial port of the server computer 42. The PDA 10 and host computer 42 may also communicate via a public telephone network or a cellular telephone network using suitable modems. Alternatively, the PDA 10 and host computer 42 may communicate through infrared link, Ethernet or other suitable hardware platform using common communication protocols (e.g., TCP/IP). In this way, the personal digital assistant 10 and server computer 42 may be configured to communicate with each other over the Internet.

The reference model server 40 preferably includes a database of speaker independent models 46, comprising a relatively extensive set of words and their associated speech reference models. When the user enters a new word in the PDA 10, the word is communicated via communication modules 30 and 44 to the reference model server 40. If the user-supplied word is found in the database 46, the speech model corresponding to that word may be transferred to the PDA through the communication modules. The PDA then stores the newly acquired speech reference model in its lexicon 24, such that the speech reference model is associated with the user-supplied word as illustrated by data structures 26 and 28.

In the event the user-supplied word is not found in the database 46, the system will generate a speech reference model for the word. To do so, the system employs a phoneticizer 48 and a reference model training module 50. First, the phoneticizer 48 parses the letters that make up the

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word and then employs a decision tree network to generate one or more hypothesized pronunciations (i.e., phonetic transcriptions) of the user-entered word. This set of synthesized pronunciations then serves as input to the reference model training module 50 which in turn creates a new speech reference model is based on the speech model template associated with the reference model training module 50. In a preferred embodiment, Hidden Markov Model (HMM) is used as the speech model template for the training module 50. The reference model training module 50 may also employ a procedure for ascertaining the optimal speech model for the phonetic transcription input.

Alternatively, if the user-entered word is not found in the database 46, the system may generate a speech reference model based on speech training data that corresponds to the user-supplied word. In this case, the user speaks the word for which the new speech reference model is desired. The system receives the user-supplied word as audio data via the microphone 18. Speech recognizer 22 converts the audio data into a digitized input signal and then into a parameterized intermediate form. In a preferred embodiment of the present invention, the intermediate representation of the word is a vector of parameters representing the short term speech spectral shape of the audio data. The vector of parameters may be further defined as, but not limited to pulse code modulation (PCM),  $\mu$ -law encoded PCM, filter bank energies, line spectral frequencies, linear predictive coding (LPC) cepstral coefficients or other types of cepstral coefficients. One skilled in the art will readily recognize that the system may prompt the user for one or more utterances of the user-supplied word in order to provide ample speech training data. In this case, the intermediate representation of the word is comprised of a sequence of vectors having one sequence for each training repetition. When the word is not found in the lexicon, the intermediate form is then communicated via communication module 30 and 44 to the reference model server 40.

The reference model server 40 passes the intermediate representation of the word to the reference model training module 50, where a speech model is constructed using the speech model template. To construct a speech model, the reference model training module 50 may decode the time series of parameter vectors in the speech training data by comparison to a set of phonetic Hidden Markov Models, thereby obtaining a phonetic transcription of the utterance in the speech training data. In this case, the transcription serves as the speech reference model. Alternatively, the reference model training module 50 may align the time series of parameter vectors for each repetition of the speech utterance in the speech training data as is well known in the art. In this case, the reference model training module 50 computes the mean and variance of each parameter at each time interval and then constructs the speech reference model from these means and variances (or functions of these means and variances). In either case, the newly constructed speech reference model is thereafter sent back over the communication link to the PDA. Finally, the new speech reference model along with the alphanumeric representation of the user-supplied word is added to lexicon 24.

A second preferred embodiment of the present invention will be described in relation to a cellular telephone application as shown in FIG. 2. The cellular telephone handset device 60 contains an embedded microphone 62 for receiving audio data from the user and an embedded speaker 64 for transmitting audio data back to the user. The handset device 60 also includes a telephone keypad 66 for dialing or for entering other information, as well as a small liquid crystal

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display screen 68 that presents information to the user. Thus, the cellular telephone lends itself to different types of embedded speech-enabled applications.

Although various types of speech-enabled applications are envisioned, an automatic voice dialing feature is illustrated in FIG. 2. To voice dial the telephone, a user merely speaks the name of the person they wish to call. The audio data corresponding to the spoken name is then processed by a speech recognizer module 22' within the handset device 60. The speech recognizer 22' works in conjunction with a locally stored lexicon 24' of words that may be recognized by the system. As shown in FIG. 2, the lexicon 24' is arranged according to a data structure that associates each recognizable word with a corresponding speech reference model.

If the name is recognized by the speech recognizer 22', the alphanumeric representation of the spoken word is passed along to an automatic dialer module 70. A portion of the system's non-volatile random access memory is used to maintain a mapping between names and telephone numbers. The automatic dialer module 70 accesses this memory space to retrieve the telephone number that corresponds to the alphanumeric representation of the spoken name and then proceeds to dial the telephone number. In this way, the user is able to automatically voice dial the cellular telephone.

The cellular telephone also presents a challenge of trying to achieve each of the previously identified desirable features. Again, the cellular telephone employs a distributed speech recognition architecture whereby words and their associated speech models may be added to lexicon 24' on a fully customized basis. When the user-supplied name is not found in the lexicon 24', the user may enter the name by using either the keypad 66 or some other suitable input device. The alphanumeric data corresponding to the name is stored in a portion of the system's non-volatile random access memory which has been designated as word memory 21'. The name is then communicated via communication modules 30' and 44' to the reference model server 40'.

As previously described, the reference model server 40' passes the intermediate representation of the name to the reference model training module 50', where a speech model is constructed using the speech model template. Thereafter, the newly constructed speech reference model is sent back over the communication link to the telephone handset device 60. Finally, the speech reference model along with a corresponding user-supplied word is added to lexicon 24' of the telephone handset device 60.

For an automatic voice dialing application, it is envisioned that the lexicon 24' may also be configured to associate telephone numbers, rather than names, with a speech reference model. When the user speaks the name of the person they wish to call, the speech recognizer 22' works in conjunction with the lexicon 24' to retrieve the telephone number that corresponds to the spoken name. The telephone number is then directly passed along to the automatic dialer module 70.

The foregoing discloses and describes merely exemplary embodiments of the present invention. One skilled in the art will readily recognize from such discussion, and from accompanying drawings and claims, that various changes, modifications, and variations can be made therein without departing from the spirit and scope of the present invention.

What is claimed is:

1. A speech processing system for constructing speech recognition reference models, comprising:

a speech recognizer residing on a first computing device;

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said speech recognizer receiving speech training data and processing the speech training data into an intermediate representation of the speech training data, said speech recognizer further being operative to communicate the intermediate representation to a second computing device;

a speech model server residing on said second computing device, said second computing device being interconnected via a network to said first computing device;

said speech model server receiving the intermediate representation of the speech training data and generating a speech reference model using the intermediate representation, said speech model server further being operative to communicate the speech reference model to said first computing device; and

a lexicon coupled to said speech recognizer for storing the speech reference model on said first computing device.

2. The speech processing system of claim 1 wherein said speech recognizer receives alphanumeric text that serves as the speech training data and said intermediate representation of the speech training data being a sequence of symbols from said alphanumeric text.

3. The speech processing system of claim 1 wherein said speech recognizer captures audio data that serves as the speech training data and digitizes the audio data into said intermediate representation of the speech training data.

4. The speech processing system of claim 1 wherein said speech recognizer captures audio data that serves as the speech training data and converts the audio data into a vector of parameters that serves as said intermediate representation of the speech data, where the parameters are indicative of the short term speech spectral shape of said audio data.

5. The speech processing system of claim 4 wherein said vector of parameters is further defined as either pulse code modulation (PCM),  $\mu$ -law encoded PCM, filter bank energies, line spectral frequencies, or cepstral coefficients.

6. The speech processing system of claim 1 wherein said speech model server further comprises a speech model database for storing speaker-independent speech reference models, said speech model server being operative to retrieve a speech reference model from said speech model database that corresponds to the intermediate representation of said speech training data received from said speech recognizer.

7. The speech processing system of claim 1 wherein said speech model server further comprises:

a phoneticizer receptive of the intermediate representation for producing a plurality of phonetic transcriptions; and a model trainer coupled to said phoneticizer for building said speech reference model based on said plurality of phonetic transcriptions.

8. The speech processing system of claim 4 wherein said speech model server further comprises:

a Hidden Markov Model (HMM) database for storing phone model speech data corresponding to a plurality of phonemes; and

a model trainer coupled to said HMM database for decoding the vector of parameters into a phonetic transcription of the audio data, whereby said phonetic transcription serves as said speech reference model.

9. The speech processing system of claim 1 wherein said speech recognizer captures at least two training repetitions of audio data that serves as the speech training data and converts the audio data into a sequence of vectors of parameters that serves as said intermediate representation of the speech training data, where each vector corresponds to a training repetition and the parameters are indicative of the short term speech spectral shape of said audio data.

10. The speech processing system of claim 9 wherein said speech model server being operative to determine a reference vector from the sequence of vectors, align each vector in the sequence of vectors to the reference vector, determine a mean and a variance of each parameter in the reference vector computed over the values in the aligned vectors, thereby constructing said speech reference model from the sequence of vectors.

11. A distributed speech processing system for supporting applications that reside on a personal digital assistant (PDA) device, comprising:

an input means for capturing speech training data at the PDA;

a speech recognizer coupled to said input means and receptive of speech training data from said input means;

said speech recognizer being operative to process the speech training data into an intermediate representation of the speech training data and communicate the intermediate representation to a second computing device;

a speech model server residing on said second computing device, said second computing device being interconnected via a network to the PDA;

said speech model server receiving the intermediate representation of the speech training data and generating a speech reference model using the intermediate representation, said speech model server further being operative to communicate the speech reference model to said first computing device; and

a lexicon coupled to said speech recognizer for storing the speech reference model on the PDA.

12. The distributed speech processing system of claim 11 wherein said input means is further defined as:

a stylus;

a display pad for capturing handwritten stroke data from the stylus; and

a handwritten recognition module for converting handwritten stroke data into alphanumeric data, whereby the alphanumeric data serves as speech training data.

13. The distributed speech processing system of claim 12 wherein said speech recognizer segments the alphanumeric data into a sequence of symbols which serves as the intermediate representation of the speech training data.

14. The distributed speech processing system of claim 11 wherein said speech model server further comprises a speech model database for storing speaker-independent speech reference models, said speech model server being operative to retrieve a speech reference model from said speech model database that corresponds to the intermediate representation of said speech training data received from said speech recognizer.

15. The distributed speech processing system of claim 11 wherein said speech model server further comprises:

a phoneticizer receptive of the intermediate representation for producing a plurality of phonetic transcriptions; and

a model trainer coupled to said phoneticizer for building said speech reference model based on said plurality of phonetic transcriptions.

16. The distributed speech processing system of claim 11 wherein said input means is further defined as a microphone for capturing audio data that serves as speech training data.

17. The distributed speech processing system of claim 16 wherein said speech recognizer converts the audio data into a digital input signal and translates the digital input signal into a vector of parameters which serves as the intermediate

representation of the speech training data, said parameters being indicative of the short term speech spectral shape of said audio data.

18. The distributed speech processing system of claim 17 wherein said vector of parameters is further defined as either pulse code modulation (PCM),  $\mu$ -law encoded PCM, filter bank energies, line spectral frequencies, or cepstral coefficients.

19. The distributed speech processing system of claim 11 wherein said speech model server further comprises:

a Hidden Markov Model (HMM) database for storing phone model speech data corresponding to a plurality of phonemes; and

a model trainer coupled to said HMM database for decoding said vector of parameters into a phonetic transcription of the audio data, whereby said phonetic transcription serves as said speech reference model.

20. The speech processing system of claim 11 wherein said speech recognizer captures at least two training repetitions of audio data that serves as the speech training data and converts the audio data into a sequence of vectors of parameters that serves as said intermediate representation of the speech training data, where each vector corresponds to a training repetition and the parameters are indicative of the short term speech spectral shape of said audio data.

21. The speech processing system of claim 20 wherein said speech model server being operative to determine a reference vector from the sequence of vectors, align each vector in the sequence of vectors to the reference vector, determine a mean and a variance of each parameter in the reference vector computed over the values in the aligned vectors, thereby constructing said speech reference model from the sequence of vectors.

22. A distributed speech processing system for supporting applications that reside on a cellular telephone handset device, comprising:

an input means for capturing speech training data at the handset device;

a speech recognizer coupled to said input means and receptive of speech training data from said input means;

said speech recognizer being operative to process the speech training data into an intermediate representation of the speech training data and communicate the intermediate representation to a second computing device;

a speech model server residing on said second computing device, said second computing device being interconnected via a network to the handset device;

said speech model server receiving the intermediate representation of the speech training data and generating a speech reference model using the intermediate representation, said speech model server further being operative to communicate the speech reference model to said first computing device; and

a lexicon coupled to said speech recognizer for storing the speech reference model on the handset device.

23. The distributed speech processing system of claim 22 wherein said input means is further defined as a keypad for capturing alphanumeric data that serves as speech training data, such that said speech recognizer segments the alphanumeric data into a sequence of symbols which serves as the intermediate representation of the speech training data.

24. The distributed speech processing system of claim 22 wherein said reference model server further comprises a speech model database for storing speaker-independent speech reference models, said reference model server being

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operative to retrieve a speech reference model from said speech model database that corresponds to the intermediate representation of said speech training data received from said speech recognizer.

25. The distributed speech processing system of claim 22 wherein said speech model server further comprises:

- a phoneticizer receptive of the intermediate representation for producing a plurality of phonetic transcriptions; and
- a model trainer coupled to said phoneticizer for building said speech reference model based on said plurality of phonetic transcriptions.

26. The distributed speech processing system of claim 22 wherein said input means is further defined as a microphone for capturing audio data that serves as speech training data.

27. The distributed speech processing system of claim 26 wherein said speech recognizer converts the audio data into a digital input signal and translates the digital input signal into a vector of parameters which serves as the intermediate representation of the speech training data, said parameters being indicative of the short term speech spectral shape of said audio data.

28. The distributed speech processing system of claim 27 wherein said vector of parameters is further defined as either pulse code modulation (PCM),  $\mu$ -law encoded PCM, filter bank energies, line spectral frequencies, or cepstral coefficients.

29. The distributed speech processing system of claim 22 wherein said speech model server further comprises:

- a Hidden Markov Model (HMM) database for storing phone model speech data corresponding to a plurality of phonemes; and
- a model trainer coupled to said HMM database for decoding said vector of parameters into a phonetic transcription of the audio data, whereby said phonetic transcription serves as said speech reference model.

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30. The distributed speech processing system of claim 22 wherein said speech recognizer captures at least two training repetitions of audio data that serves as the speech training data and converts the audio data into a sequence of vectors of parameters that serves as said intermediate representation of the speech training data, where each vector corresponds to a training repetition and the parameters are indicative of the short term speech spectral shape of said audio data.

31. The distributed speech processing system of claim 30 wherein said speech model server being operative operative to determine a reference vector from the sequence of vectors, align each vector in the sequence of vectors to the reference vector, determine a mean and a variance of each parameter in the reference vector computed over the values in the aligned vectors, thereby constructing said speech reference model from the sequence of vectors.

32. A method of building speech reference models for use in a speech recognition system, comprising the steps of:

- collecting speech training data at a first computing device;
- processing the speech training data into an intermediate representation of the speech training data on said first computing device;
- communicating said intermediate representation of the speech training data to a second computing device, said second computing device interconnected via a network to said first computing device;
- creating a speech reference model from said intermediate representation at said second computing device; and
- communicating said speech reference model to the first computing device for use in the speech recognition system.

\* \* \* \* \*

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. : 6,463,413 B1  
DATED : October 8, 2002  
INVENTOR(S) : Ted H. Applebaum and Jean-Claude Junqua

Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Title page.

Item [73], Assignee, "**Matsushita Electrical Industrial Co., Ltd.**" should be  
-- **Matsushita Electric Industrial Co., Ltd.** --

Item [56], **References Cited**, U.S. PATENT DOCUMENTS, the following  
references should be added:

-- 5,054,082	10/1991	Smith, et al.
5,212,730	05/1993	Wheatley, et al.
5,732,187	03/1998	Scruggs, et al. --

Column 10.

Line 10, "operative operative" should be -- operative --.

Signed and Sealed this

Eighth Day of April, 2003



JAMES E. ROGAN  
*Director of the United States Patent and Trademark Office*